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COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

SERIAL NO. 09/817,867

IBM DOCKET NO. AUS920010143US1

DATE: May 9, 2005

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Number of Pages to Follow (including this cover sheet) 5

SEND TO: United States Patent Office

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Docket No. AUS920010143US1

Serial No. 09/817,867

Atty: JSL / JVL

Applicant: Dutta

☒ Transmittal Letter (2 copies)

☒ Certificate of Facsimile

☐ Amendment (      pages)

☐ Notice of Appeal

☐ Amendment AF

☐ Appeal Brief (3 copies)

☐ Ext. of Time

☐ Reply Brief

☒ IDS Statement

☐ Change of Address

☒ Form PTO-1449 (Modified)

☐ Other                     

Deposit Acct. No. 09-0447

Fees: Amendment

Notice of Appeal

Appeal Brief

Other \$ 180.00

Atty Ref. No. IBM-1015


COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

SERIAL NO. 09/817,867

IBM DOCKET NO. AUS920010143US1

DATE: May 9, 2005

Commissioner for Patents  
P.O. Box 1450  
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 Leslie A. Van Leeuwen	5/9/05 Date

Sir:

Transmitted herewith for filing is the Patent Application of:

Inventors: Dutta

For: System and Method for Placement of User-Negotiated Security Features on Ticket Items

Enclosed are:

- ☒ Information Disclosure Statement;
- ☒ Modified form 1449 listing 1 reference;

37 CFR § 1.97 is satisfied by the following:

- ☒ This IDS is being filed pursuant to CFR § 1.197(c) and:
  - ☒ is accompanied by the fee set forth in § 1.17(p), please charge my Deposit Account No. 09-0447 the fees set forth in § 1.17(p) [\$180.00]. (a duplicate copy of this sheet is enclosed); and
  - ☒ no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 09-0447. A duplicate copy of this sheet is enclosed.
- ☒ Any additional patent application processing fees under 37 CFR Sect. 1.17.

Respectfully submitted,

By



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Atty Ref. No. IBM-1015

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SERIAL NO. 09/817,867  
IBM DOCKET NO. AUS920010143US1  
DATE: May 9, 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: : Group Art Unit: 2134  
Dutta : Intellectual Property Law Department  
Serial No.: 09/817,867 : International Business  
Filed: March 26, 2001 : Machines Corporation  
Title: System and Method for Placement of : Intellectual Property Law Dept.  
User-Negotiated Security Features : 11400 Burnet Road  
on Ticket Items : Austin, Texas 78758

INFORMATION DISCLOSURE STATEMENT

The Commissioner of Patents  
Washington, D.C. 20231

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such. In accordance with 37 CFR § 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR § 1.56 (a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR § 1.98 (a)(1). A copy of each listed item that is not a U.S. Patent document, is supplied herewith.

Respectfully submitted,

By

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Page 1 of 1

Atty Ref. No. IBM-1015

In Place of FORM PTO-1449 (Modified)

Serial No. 09/817,867Applicant: DuttaFiling Date: 3/26/2001Group: 2134Atty. Docket No. AUS220010143US1**LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT****U. S. PATENT DOCUMENTS**

<u>Examiner Initial</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>	<u>Classification Class / Subclass</u>	<u>Filing Date if Appropriate</u>
_____	AA 6,527,173	03-2003	Narusawa et al.	235/380	
_____	AB				
_____	AC				
_____	AD				
_____	AE				
_____	AF				
_____	AG				
_____	AH				
_____	AI				
_____	AJ				
_____	AK				
_____	AL				

**FOREIGN PATENT DOCUMENTS**

<u>Examiner Initial</u>	<u>Document Number</u>	<u>Date</u>	<u>Country</u>	<u>Classification</u>	<u>Translation Yes No</u>
_____	AM				
_____	AN				
_____	AO				
_____	AP				

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

<u>Examiner Initial</u>	
_____	AQ
_____	AR
_____	AS
_____	AT
_____	AU

Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.